

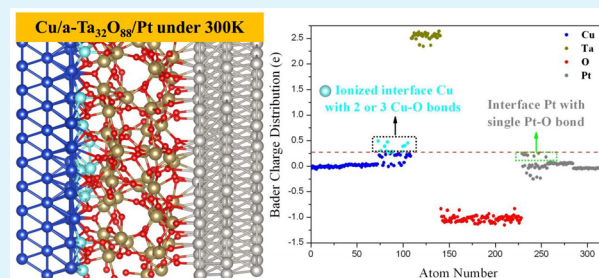
Interface Structure in Cu/Ta₂O₅/Pt Resistance Switch: A First-Principles Study

Bo Xiao* and Satoshi Watanabe

Department of Materials Engineering, The University of Tokyo, Tokyo, Japan

ABSTRACT: The interface structures of a Cu/Ta₂O₅/Pt resistance switch under various oxidation conditions have been examined from first-principles. The O-rich Cu/Ta₂O₅ interface is found to be stable within a wide range of O chemical potentials. In this interface structure, a considerable number of interface Cu atoms tend to migrate to the amorphous Ta₂O₅ (a-Ta₂O₅) layer, which causes the formation of the Cu₂O layer. The interface Cu atoms become more ionized with an increase in the interface O concentration and/or temperature. These ionized Cu⁺ ions could function as one of the main sources for the formation of conduction filaments in the Cu/a-Ta₂O₅/Pt resistance switch. In contrast, the ionization of the interface Cu atoms is not observed in the Cu/crystal-Ta₂O₅ interface primarily due to the much lower Cu ionic conductivity in crystal-Ta₂O₅ than that in amorphous state. In addition, the Pt electrode could not be ionized, irrespective of the interface O concentration and temperature. The formation of interface O vacancies in Pt/Ta₂O₅ is always energetically more stable than that in Cu/Ta₂O₅, which may be partly responsible for the cone shape of conduction filament formed in the Cu/a-Ta₂O₅/Pt resistance switch, where the base of the cone lies on the Pt/Ta₂O₅ interface.

KEYWORDS: resistance switch, interface structure, amorphous Ta₂O₅, Cu ionization, interface O concentration, metal–oxide heterostructure



1. INTRODUCTION

In recent years, nanoscale resistance switches, which are constructed with metal–insulator–metal structures, have attracted much attention due to their high scalability, low power consumption, and potential applications in memory cells.^{1–3} Resistance switches can be classified into two main types: unipolar and bipolar switches.^{4–11} In unipolar switches, which consist of an insulator (TiO₂,⁴ NiO,⁵ Nb₂O₅,⁶ ZrO₂,⁷ etc.) sandwiched between two same metal electrodes, the switching direction depends only on the amplitude of the applied voltage. On the other hand, in bipolar switches, the switching can be induced by changing the polarity of the bias voltage applied to either electrode. In general, bipolar switches are designed with an asymmetric structure consisting of an insulator (Cu₂S,⁸ Ag₂S,⁹ TiO₂,¹⁰ Ta₂O₅,¹¹ etc.) sandwiched between an oxidizable electrode such as Ag and Cu and an inert electrode such as Pt and Au. In addition, bipolar switches could also be realized without an oxidizable electrode (e.g., Ta/TaO_x/Ir¹² or Hf/HfO_x/TiN).¹³

The switching mechanism of metal–oxide-based resistance switches is still under debate. Several models have been suggested, such as the modification of the Schottky barrier height due to defects or trapped carriers, the alteration of bulk insulator resistivity due to defects or trapped carriers, and the formation of metal/vacancy conduction filament (CF) between two electrodes under electric field.¹⁴

In the present study, we focus on the resistance switch based on amorphous Ta₂O₅ (a-Ta₂O₅), such as Cu/a-Ta₂O₅/Pt,¹¹

which is particularly promising as a practical device due to its compatibility with semiconductor fabrication processes. The generally accepted switching mechanism of the Cu/a-Ta₂O₅/Pt resistance switch is based on the formation/annealing of Cu filament under bias voltages¹¹ in which three rate-limiting processes have been suggested: (1) the ionization/oxidation of Cu at the Cu/a-Ta₂O₅ interface, (2) the migration of Cu ions in the a-Ta₂O₅ layer, and (3) the nucleation of Cu at the Pt/a-Ta₂O₅ interface.^{15,16} It should be noted that the initial ionization of Cu atoms in the Cu/a-Ta₂O₅ interface plays an important role in determining the performance of resistance switch because the ionized Cu atoms migrate through the a-Ta₂O₅ layer under the action of electrical bias and are finally reduced at the Pt electrode to form the conductive filament.¹⁵ Moreover, Rodriguez et al.¹⁷ proposed that, for the diffusion of metallic Cu to be enhanced by the action of an electric field into dielectrics, the metal must first be ionized, and the ionization occurs by oxidation.^{15,18}

In the present study, to fully understand the ionization/oxidation behavior of the interface Cu atoms, we examine the interface structures and electronic properties of the Cu/a-Ta₂O₅/Pt heterostructures as functions of the interface O concentration and temperature. Our results demonstrate that the O-rich Cu/a-Ta₂O₅ interface structure is stable within a

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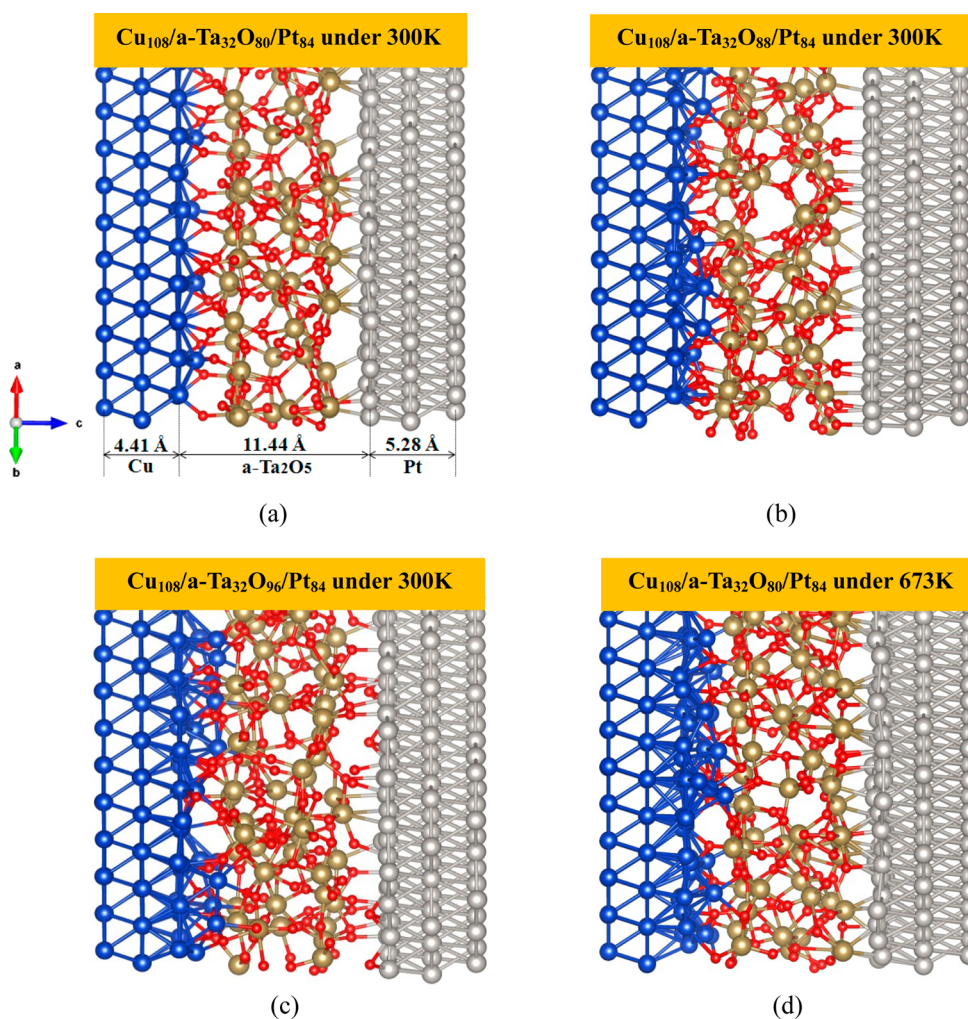


Figure 1. Cu/a-Ta₂O₅/Pt heterostructures of (a) a-O8, (b) a-O12, and (c) a-O16 after molecular dynamic (MD) simulations at 300 K and (d) a-O8 after MD simulations at 673 K. For the definitions of a-O8, a-O12, and a-O16, see the main text.

large range of O chemical potential, and the interface Cu atoms become more ionized with the increase in interface O concentrations and temperatures. As expected, the interface Pt atoms could scarcely be ionized even under O-rich conditions and/or high temperatures. The formation of interface O vacancies is preferred near the Pt/Ta₂O₅ interface as compared with that near the Cu/Ta₂O₅ interface. This may result in the formation of nanoporous structures near the Pt/Ta₂O₅ interface and thus may be partially responsible for the cone shape of the CF formed across the Cu/a-Ta₂O₅/Pt resistive switch, where the base of the cone lies on the Pt/Ta₂O₅ interface.

2. CALCULATION METHODS

All calculations were performed using the Vienna ab initio simulation package (VASP).^{19,20} A plane wave basis with cutoff energy of 400 eV was used. The projector augmented-wave (PAW)²¹ method and the generalized gradient approximation (PW91)²² were adopted to describe the electron–ion and electron–electron interactions, respectively.

To investigate the Cu ionization in the Cu/Ta₂O₅ interface, a-Ta₂O₅-based resistance switch models (i.e., Cu/a-Ta₂O₅/Pt) were constructed because the amorphous phase is usually adopted in experiments and prototype devices.¹¹ First, the Cu/crystal- δ -Ta₂O₅/Pt heterostructure was constructed similarly to that in our previous study.²³ In this model, the system consists of 108 Cu, 96 O, 32 Ta, and

84 Pt atoms. The interface hexagonal unit cell axes are $a = b = 14.67 \text{ \AA}$, and the thickness (along the c axis) of the Cu, Ta₂O₅, and Pt slabs in this heterostructure is 4.41, 11.44, and 7.92 Å, respectively. A 15 Å vacuum region (along the c axis) was used to avoid interactions between the top (Cu) and bottom (Pt) electrodes. Three types of Cu/a-Ta₂O₅/Pt heterostructures with different interface O concentrations were constructed: Cu₁₀₈Ta₃₂O₈₀Pt₈₄ (denoted a-O8), Cu₁₀₈Ta₃₂O₈₈Pt₈₄ (a-O12), and Cu₁₀₈Ta₃₂O₉₆Pt₈₄ (a-O16). Here, a-O8 is constructed from the Cu/c-Ta₂O₅/Pt structure with a stoichiometric c-Ta₃₂O₈₀ slab between two electrodes, where both of the Cu/c-Ta₂O₅ and Pt/c-Ta₂O₅ interface O layers contain 8 O atoms. In the a-O12 and a-O16 models, 4 and 8 additional O atoms are introduced into each interface, respectively.

After structure optimization, melt-quenching processes were subsequently performed with fixed Cu (and Pt) layers. The melt-quenching method that was used in the construction of the a-Ta₂O₅ model in our previous study²⁴ has been successfully applied to these processes. The total simulation time was 22 ps with a time step of 3 fs. Only the Gamma point was used for k-space integrations considering the heavy computational cost and insulator properties of a-Ta₂O₅. Periodic boundary conditions were used with fixed lattice constants. Finally, the obtained Cu/a-Ta₂O₅/Pt heterostructure was further equilibrated at room temperature for 9 ps and then optimized with the relaxation of all of the atoms.

The interface energy of the Cu/Ta₂O₅/Pt heterostructure was calculated from the total energy E_T of the supercell and the atomic chemical potentials of constituent atoms.²⁴

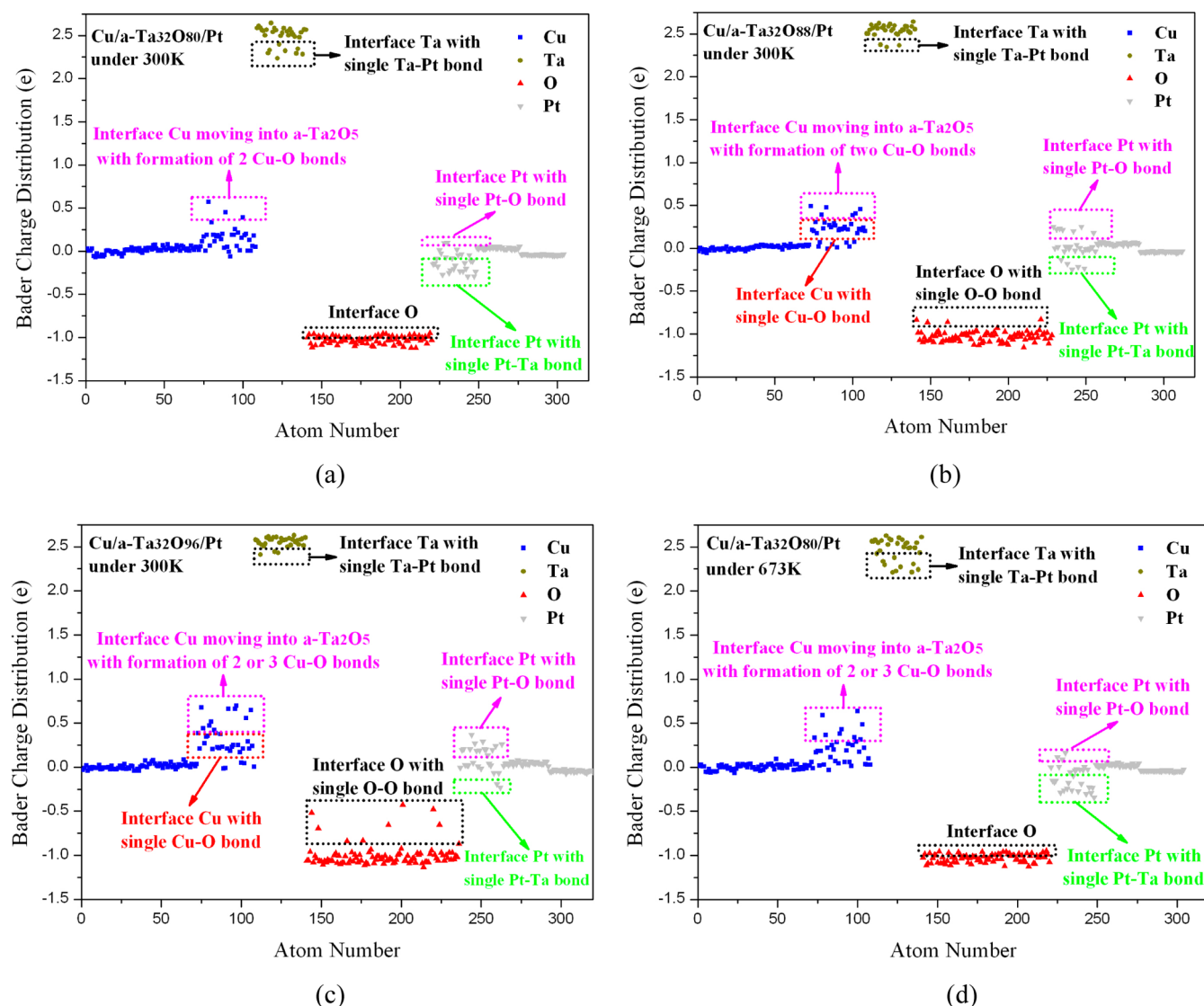


Figure 2. Bader charge distribution of Cu/a-Ta₂O₅/Pt heterostructures of (a) a-O8, (b) a-O12, and (c) a-O16 after molecular dynamic (MD) simulations at 300 K and (d) a-O8 after MD simulations at 673 K.

$$\gamma = E_T - \frac{N_{\text{Ta}}}{2} \mu_{\text{Ta}_2\text{O}_5} - \left(N_{\text{O}} - \frac{5N_{\text{Ta}}}{2} \right) \mu_{\text{O}} - N_{\text{M}} \mu_{\text{M}}$$

where N_{Ta} , N_{O} , and N_{M} are the numbers of Ta and O atoms in the Ta₂O₅ slab and Cu (or Pt) atoms in the Cu (or Pt) slab, respectively. The following equilibrium condition for bulk Ta₂O₅ is used: $\mu_{\text{Ta}_2\text{O}_5} = 2\mu_{\text{Ta}} + 5\mu_{\text{O}}$, where μ_{Ta} and μ_{O} are the chemical potentials of Ta and O in the bulk Ta₂O₅. Here, $\mu_{\text{Ta}_2\text{O}_5}$ and μ_{M} are the calculated chemical potentials of bulk Ta₂O₅ and metal Cu (or Pt), respectively. The range of μ_{O} is

$$-\frac{1}{5} \Delta H + \mu_{\text{O}}^{\text{gas}} \leq \mu_{\text{O}} \leq \mu_{\text{O}}^{\text{gas}}$$

where ΔH is the heat of formation of Ta₂O₅, which is set to the experimental value of 21.26 eV.²⁵

3. RESULTS AND DISCUSSION

The three generated Cu/a-Ta₂O₅/Pt heterostructures are shown in Figure 1. For the stoichiometric case (a-O8, Figure 1a), the O atoms tend to accumulate near the Cu/a-Ta₂O₅ interface but are depleted from the Pt/a-Ta₂O₅ interface, even though the same interface O concentration was used in the

initial Cu/c-Ta₂O₅/Pt heterostructure. As a consequence, only the Cu–O bonding structure appears at the Cu/a-Ta₂O₅ interface, while a considerable number of Pt–Ta bonds are formed at the Pt/a-Ta₂O₅ interface. At the Cu/a-Ta₂O₅ interface, most of Cu atoms bond with single O atom with the averaged Cu–O bond length of 2.15 Å, which is longer than that in the Cu₂O (1.85 Å) system. These Cu atoms are slightly ionized with the average charge transfer from Cu to O atom of ~0.2 e, as shown in the Bader charge distribution in Figure 2a. We also find that several interface Cu atoms migrate into the a-Ta₂O₅ layer by bonding with two O atoms. The average charge transfer from Cu to O in this case is ~0.4 e, indicating the strong ionization of these Cu atoms. At the Pt/a-Ta₂O₅ interface (Figure 1a), most of Pt atoms obtain electrons from interface Ta atoms due to the formation of Pt–Ta bonds. Meanwhile, the coordination numbers (bonding with O) of these interface Ta atoms decrease by about 1, which results in the reduction of Ta atoms as shown in Figure 2a.

With the increase in the interface O concentration, the structural distortion near the Cu/a-Ta₂O₅ interface becomes more significant, as shown in Figure 1, panels b (a-O12) and c

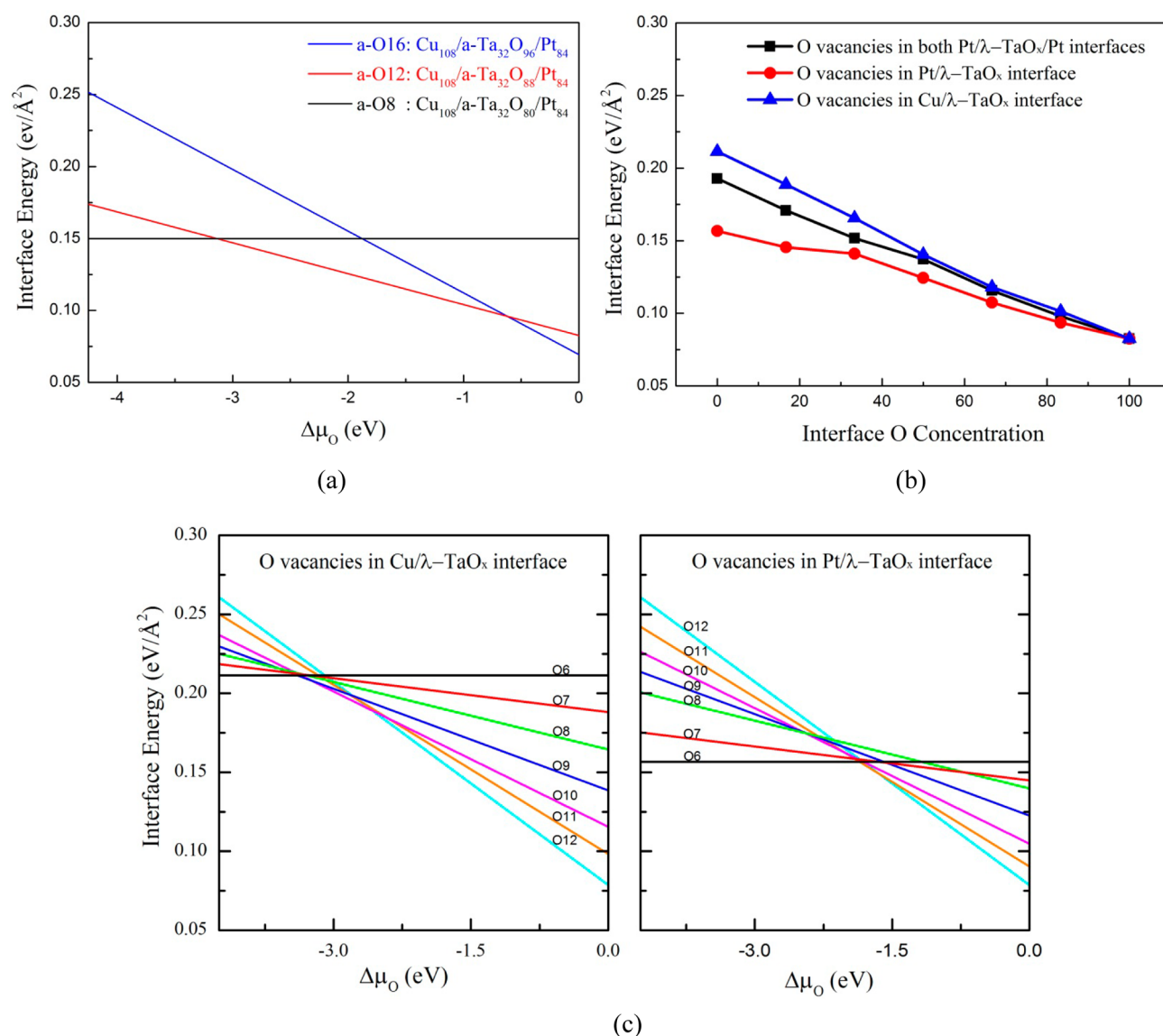


Figure 3. (a) Dependence of the interface energies of a-O8, a-O12, and a-O16 models on the O chemical potential; (b) dependence of the interface energies of Cu/λ-Ta₂O₅/Pt heterostructures on the interface O concentrations; and (c) dependence of the interface energies of Cu/λ-Ta₂O₅/Pt heterostructures with various O concentrations on the O chemical potential.

(a-O16). Due to the relatively high interface O concentration, several Ta–O–O bonding structures are formed at the interfaces of both the a-O12 and a-O16 models, and the corresponding Bader charge analyses (Figure 2b,c) show fewer negative charges on these O ions with O–O bonds than those bonding with metals. We note that a similar Ta–O–O structure has been experimentally observed in a Cu/a-Ta₂O₅/Pt resistive switch.²⁶ In both the a-O12 and a-O16 models, the interface Pt atoms are still in their original position irrespective of the interface O concentrations. These Pt atoms with a single Pt–O (Pt–Ta) bond are slightly ionized (reduced) with the averaged charge transfer to the O (Ta) atom is ~0.2e (–0.25e). In contrast, a considerable number of interface Cu atoms in the a-O12 and a-O16 models have migrated to the a-Ta₂O₅ layer with each Cu atom forming two (this is the predominant case) or three Cu–O bonds. The average Cu–O bond length (1.90 Å) is slightly longer than that in bulk Cu₂O (1.85 Å). The Bader charge analyses reveal that these interface Cu atoms in the a-O12 and a-O16 models have been strongly oxidized,

losing ~0.45 and ~0.55 e on average, as shown in Figure 2b,c. We note that our calculated Bader charge distribution always underestimates the charges by 50% as compared with the formal valence number: for example, the averaged charges on O and Ta atoms are about –1e and 2.5e, respectively, which are only half of the formal valence of O^{2–} and Ta⁵⁺ ions in a-Ta₂O₅. Accordingly, the interface Cu atoms with 0.45e and 0.55e charges can be regarded as Cu^{0.9+} and Cu^{1.1+} ions, respectively. Moreover, the experimental study has also confirmed that the thermally diffused Cu in a-Ta₂O₅ exists as Cu⁺ ions.²⁶ Thus, our results reveal that the Cu suboxide layer (i.e., Cu₂O) can be formed in the Cu/a-Ta₂O₅ interface under O-rich interface conditions. In a real Cu/a-Ta₂O₅/Pt device, a certain amount of residual water is present in the a-Ta₂O₅ layers, which is absorbed from the ambient air.¹⁵ According to the experiments, moisture within an oxide film has an important impact on the Cu injection and redox reaction in resistance switches.^{15,27,28} In the present study, we show an increase of the interface O concentration at the Cu/a-Ta₂O₅ interface. We note that the

introduction of water into the Cu/a-Ta₂O₅ interface could further increase the interface O concentration, and thus, it is reasonable to conclude that the Cu electrode would likely undergo chemical oxidation via the interface water.¹⁵ In addition, the water reduction reaction at the Pt electrode could also provide the counter charge supply required for Cu ion formation at the electrode interface.^{27,28} Similar results are expected to be obtained in Cu/SiO₂/Pt resistance switches²⁹ because both Ta₂O₅- and SiO₂-based devices have shown similar behavior concerning the switching properties and the effects of moisture.

We also know that temperature is another key element affecting the switching performance of the Cu/a-Ta₂O₅/Pt resistance switch. For example, the magnitude of the SET voltage of the Cu/a-Ta₂O₅/Pt resistance switch decreases with increasing temperature,¹⁵ which can be partly attributed to the increase in the diffusion speed of Cu in a-Ta₂O₅ at high temperatures. Therefore, the temperature effect on the ionization and migration of interface Cu atoms is examined. The structure of the a-O8 model after 2 ps of molecular dynamics (MD) simulation at 673 K is shown in Figure 1d. More interface Cu atoms have migrated into the a-Ta₂O₅ layer with a longer distance as compared with the original a-O8 model (Figure 1a). This migration is associated with a stronger ionization of the interface Cu atoms, as shown in Figure 2d. Thus, the temperature is another method for controlling the ionization of the interface Cu atoms.

Next, we would like to discuss the stability of the Cu/a-Ta₂O₅/Pt heterostructures as a function of the interface O concentration. The calculated interface energies are shown in Figure 3a, revealing that the a-O12 model is the most stable model within a large O chemical potential range and that the a-O8 and a-O16 models can only appear under extremely O-deficient and O-rich conditions, respectively. As mentioned above, O atoms tend to accumulate at the Cu/a-Ta₂O₅ interface, and as a consequence, the interface O concentration in the a-O12 model is very high. Thus, it is reasonable to conclude that the O-rich interface structure of Cu/a-Ta₂O₅, in which the Cu₂O layer is formed, is energetically preferable. In addition, our results on the accumulation (depletion) of oxygen at the Cu/Ta₂O₅ (Pt/Ta₂O₅) interface can be understood in terms of the band bending. Because Pt has a high work function, the energy bands of Ta₂O₅ near the Pt/Ta₂O₅ interface should bend upward, and positive charges should accumulate near this interface. On the other hand, the downward bending of the band, and thus the accumulation of negative charges, should occur near the Cu/Ta₂O₅ interface. Our first-principles simulations show that such charge accumulation is achieved via accumulation of oxygen or oxygen vacancies in the present situation. Similar behavior has been observed in theoretical studies on the metal/yttrium-stabilized zirconia interface.^{30,31}

For further understanding of the stability, it may be useful to examine the Cu/Ta₂O₅/Pt heterostructures with a well-defined interface. For this purpose, computational models are constructed based on crystalline λ -Ta₂O₅, which is the most stable structure among all predicted low-temperature crystalline Ta₂O₅ structures.^{32,33} The chemical component of this heterostructure is Cu₆₀/Ta₃₆O₁₀₂/Pt₆₃. Because the λ -Ta₂O₅ slab, which we cleaved, contains 12 O atoms per supercell; it is denoted as c-O12. Subsequently, several Cu/ λ -Ta₂O₅/Pt heterostructures are constructed by removing interface O atoms from either one interface or from both interfaces. As

shown in Figure 3b, the formation of O vacancies in the Pt/ λ -Ta₂O₅ interface is always energetically more stable than that in Cu/ λ -Ta₂O₅, and the O-rich interface in Cu/ λ -Ta₂O₅ is stable within larger O chemical potential range than that of Pt/ λ -Ta₂O₅, as shown in Figure 3c. These results further confirm the different O preference between the Pt/Ta₂O₅ and Cu/Ta₂O₅ interfaces, which corresponds to the results of the amorphous cases discussed above.

We further note that the ionization behavior of both the interface Cu and Pt atoms could not be found in Cu/ λ -Ta₂O₅/Pt, irrespective of the interface O concentration or temperature, which is likely due to the different Cu ionic conductivity in crystalline and amorphous Ta₂O₅. In room-temperature experiments, the Cu ionic conductivity was found to be negligible in crystalline Ta₂O₅^{34,35} but enhanced in the amorphous case due to its lower density than the bulk state.³⁶ Considering the absence of ionized Cu atoms near Cu/c-Ta₂O₅ interface, our results further provide the disadvantages of crystalline-Ta₂O₅ for a Cu ion-conducting solid electrolyte.³⁷

As mentioned above, O atoms tend to accumulate near the Cu/a-Ta₂O₅ interface but are depleted from the Pt/a-Ta₂O₅ interface because the formation of O vacancies near the Pt/Ta₂O₅ interface is energetically more favorable than that near the Cu/Ta₂O₅ interface. Specifically, in the case of the most stable a-O12 structure (Figure 4a,b), the calculated O coverage on the interface Cu and Pt layers is 86 and 29%, respectively (here, the O coverage value is defined as the number of metal

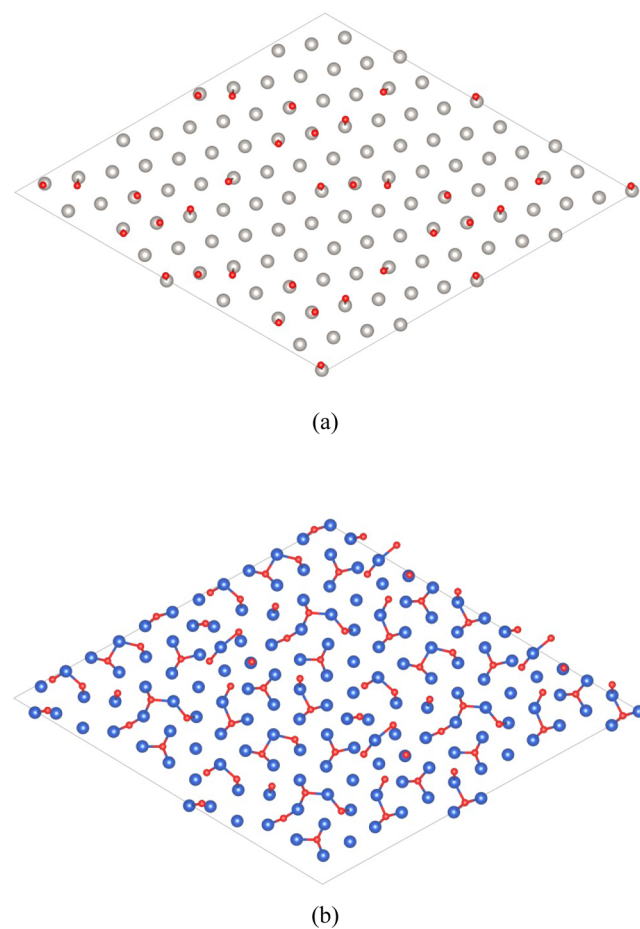


Figure 4. Top views of the interface O distribution on (a) Pt and (b) Cu slabs in the a-O12 model.

atoms with metal–O bonds divided by the total number of interface metal atoms). In particular, a wide area of interface Pt atoms with no Pt–O bonding is observed in the Pt/a-Ta₂O₅ interface shown in Figure 4a, which may result in the formation of the sparse structure shown in Figure 1b. Similar O vacancy coalescence has been widely used to explain the formation of nanopores in materials such as BaTiO₃,³⁸ ZnO,³⁹ Si/SiO₂,⁴⁰ Al₂O₃/FeAl,⁴¹ and CeO₂/ITO.⁴² In contrast, a dense structure with smaller void spaces (or nanopores with small diameters) is formed near the Cu/a-Ta₂O₅ interface, as shown in Figure 1b, which can be attributed to the lack of vacancies shown in Figure 4b. Thus, we propose that the formation of different sized nanopores may occur in the interfaces of Cu/a-Ta₂O₅/Pt during fabrication. Because the switching mechanism of the Cu/a-Ta₂O₅/Pt device is based on the diffusion of Cu ions across the nanopores in a-Ta₂O₅, the Cu ions first nucleate near the Pt/a-Ta₂O₅ interface.¹⁵ Thus, considering the obviously different porosities (or O coverage) between the Pt/Ta₂O₅ and Cu/Ta₂O₅ interfaces, the diameter of the Cu conduction filament (CF) in a-Ta₂O₅ is not likely to be uniform, that is, the CF is thicker near the Pt/a-Ta₂O₅ interface and thinner near the Cu/a-Ta₂O₅ interface, which corresponds to the experimental results where a cone-shaped CF was observed.¹¹

We next consider the electronic properties of Cu/a-Ta₂O₅/Pt heterostructure. For simplicity, we only consider the a-O12 model. As shown in Figure 5a, the density of states (DOS) around the Fermi level primarily consists of the Cu, Pt, and interface O atoms. This suggests strong hybridization between Cu (Pt) and the interface O states, which is consistent with the obvious charge transfer at the interface region. As a result, the

interface system shows metallic behavior, and the gap states primarily arise from the interfacial Cu–O, Pt–O, and Pt–Ta bonding, as shown in Figure 5b,c. This metallic behavior occurs because only few Pt–Ta bonds are formed at the O-rich interface of Pt/a-Ta₂O₅. In the central region, neither the O atoms nor the Ta atoms contribute near the Fermi level (Figure 5d), indicating the insulator properties of a-Ta₂O₅.

4. CONCLUSION

We have investigated the structure and electronic properties of the interfaces in a Cu/a-Ta₂O₅/Pt resistance switch. Our results reveal that the O-rich interface is preferable in Cu/a-Ta₂O₅ in a wide range of O chemical potential, in which a considerable number of interface Cu atoms tend to migrate into a-Ta₂O₅ with the formation of the Cu₂O layer. In addition, the ionization of the interface Cu atoms is enhanced with increasing interface O concentration and temperature. In contrast, the interface Cu atoms could not be ionized in the Cu/c-Ta₂O₅, which results in the switching failure of the Cu/c-Ta₂O₅/Pt resistance switch. In both the Pt/a-Ta₂O₅ and Pt/c-Ta₂O₅ interfaces, the Pt atoms could hardly be oxidized, irrespective of interface O concentration or temperature. The formation of interface O vacancies in Pt/Ta₂O₅ is always energetically more stable than that in Cu/Ta₂O₅, which results in a significantly different O coverage in the Pt/Ta₂O₅ (29%) and Cu/Ta₂O₅ (86%) interfaces. This difference in O coverage may be partly responsible for the cone-shaped Cu conduction filament with the base of the cone on the Pt/Ta₂O₅ interface.

■ AUTHOR INFORMATION

Corresponding Author

*E-mail: xiaobo@cello.t.u-tokyo.ac.jp.

Notes

The authors declare no competing financial interest.

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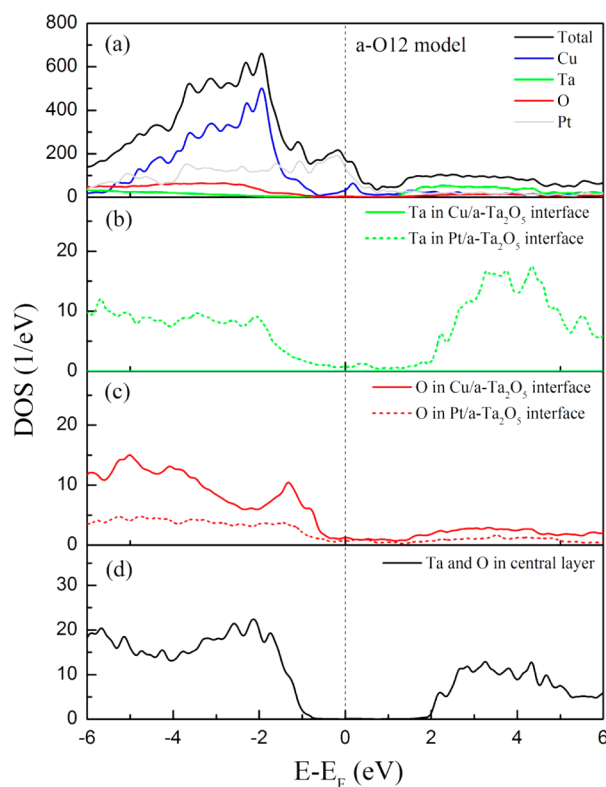


Figure 5. (a) The total density of states (DOS) and partial DOS of each element (including Cu, Ta, O, and Pt) in the a-O12 model, and the partial DOS of (b) Ta in both interfaces, (c) O in both interfaces, and (d) Ta and O in the central layer of the a-O12 model.

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